

# The precondition particle: Towards a unified analysis of German *denn*

Universität Konstanz,  
Forscherguppenkolloquium  
November 16, 2017

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## I Introduction

- German has a rich system of **discourse particles**—expressions that help speakers with organizing and “navigating” a discourse (Zimmermann, 2011).
- With few exceptions (Rojas-Esponda, 2014; Csipak and Zobel, 2014; Gutzmann, 2015), the formal semantic literature has focused on discourse particles occurring in **declarative sentences**, e.g., *ja*.
- These particles are commonly treated as indicating something about the **status of the information** conveyed by the declarative (McCready, 2012).
- For example, by using *ja* in a sentence with truth-conditional content *p*, the speaker indicates that *p* is either common knowledge of speaker and hearer or is verifiable on the spot (Kratzer, 2004).
- This perspective doesn’t straightforwardly extend to particles that appear, either predominantly or exclusively, in **interrogative clauses**, since questions don’t primarily convey information.
- *Denn* is one such particle. It is licensed in **polar interrogatives** like (1) and in ***wh*-interrogatives** like (2) (König, 1977; Thurmair, 1989):
  - (1) a. Kann Tim denn schwimmen?  
*Does Tim DENN know how to swim?*
  - b. Ist dir denn gar nicht kalt?  
*Are you DENN not cold at all?*
  - (2) a. Warum lachst du denn?  
*Why are you DENN laughing?*
  - b. Wie schaltet man dieses Ding denn aus?  
*How do I DENN switch off this thing?*
- Moreover, *denn* can appear in a **conditional antecedent**, preferably if the antecedent comes after the consequent and certain other conditions are met (Brauß, 1994; Csipak and Zobel, 2016):
  - (3) a. Kritik ist willkommen, wenn sie denn konstruktiv ist.  
*Criticism is welcome if it DENN is constructive.*
  - b. Die Veranstaltung zeigte, dass Literatur politisch sein kann, wenn sie das denn will.  
*The event has shown that literature can be political if it DENN wants to be.*
- Finally, discourse particle *denn* is homonymous with a **causal conjunction**:
  - (4) Die Straße ist nass, denn es hat geregnet.  
*The street is wet, DENN it rained.*
- I will try to account for these uses of *denn* in a **unified way** (quite confidently in the case of discourse particle *denn*, more tentatively for conjunction *denn*).

## Outline

- §2–4 *denn* in questions
- §5 *denn* in conditional antecedents
- §6 *denn* as a causal conjunction

## 2 *denn* in questions

### 2.1 Previous work

- There is little agreement, either in the descriptive or in the formal literature, about what exactly *denn* contributes to the meaning of a question. It has been suggested that:
  - (a) *denn* does not contribute anything at all (Thurmair, 1991),
  - (b) it expresses the speaker’s expectation that the hearer knows an answer to the question (Helbig, 1988),
  - (c) it conveys that learning the true answer to the question is in some way “relevant” for the speaker (König, 1977; Thurmair, 1989; Grosz, 2005; Kwon, 2005; Bayer, 2012),
  - (d) it signals heightened interest of the speaker (Csipak and Zobel, 2014),
  - (e) it signals that the question “has been pondered about” (Romero, 2017), and
  - (f) it is only felicitous if the hearer knows the reason why the speaker is asking the question (Gutzmann, 2015).
- The problem with many of these proposals is that they describe the properties of a **typical utterance situation** in which *denn* is used rather than specifying its **conventional meaning contribution**.
- As a consequence, they cannot predict the distribution of *denn*. For instance, the speaker’s expectation that the hearer knows an answer is **not a necessary felicity condition** for the use of *denn*:
  - (5) Das weißt du wahrscheinlich auch nicht, aber wer ist denn das da drüben?  
*You probably don’t know this either, but who’s DENN that person over there?*
- (It is **not a sufficient condition** either, but I won’t show this here.)
- The fact that these accounts don’t allow us to predict the distribution of *denn* is not generally considered a problem: the contribution of this particle is often assumed to be so **bleached out** that it is licensed in virtually **any (information-seeking) question**.
- However, this is not what we find! There *are* in fact infelicitous uses of *denn*.

### 2.2 Data: infelicitous uses of *denn*

#### 2.2.1 Discourse-anaphoricity and Gutzmann’s account

- As already observed by König (1977), if *A* wakes *B* in the middle of the night, it is **infelicitous** for *A* to follow this up by asking (6).
  - (6) [*A* wakes *B* in the middle of the night.]  
A: #Wie spät ist es denn?  
A: #What is the time DENN?
- By contrast, it is **felicitous** here for *B* to react to being woken up by asking (6) (König, 1977).

- König takes (6) to show that *denn* cannot appear in a totally **out-of-the-blue context**.
- However, the described scenario is **not** a totally out-of-the-blue context. Rather, the **waking action** has taken place prior to the utterance of (6), and we can consider this waking action a **discourse move**.
- Below, I will propose that *denn* is **anaphoric** to the previous discourse move, broadly construed, and that it imposes certain restriction on the relation between the previous discourse move and the content of the *denn*-marked question.
- To my knowledge, the only formal analysis that takes the discourse anaphoricity of *denn* seriously is Gutzmann (2015). He accounts for examples like (6) by letting *denn* contribute the following condition.

It is only felicitous for a speaker to utter a *denn*-question *Q* if the **hearer knows the reason** why the speaker is asking *Q*.

- This correctly **rules out** (6) and many other cases, but it still **overgenerates**: not just *any* reason for asking a question is sufficient for licensing *denn*, as we will see now.

### 2.2.2 Sensitivity to highlighted content

- A non-discourse-initial, information-seeking question that **doesn't license** *denn*:

(7) [Peter is very fond of Sophie but not so fond of parties: usually, he only goes to a party if she goes as well. Peter's feelings aren't returned by Sophie, though. So, she won't go to a party just because Peter is there. All of this is commonly known. *A* and *B* are talking at a party, wondering which of their friends are there.]

*A: Sophie is over there!*

*B: Ist (#denn) Peter auch hier?*

*B: Is Peter (#DENN) also here?*

- Also note that if the **roles were reversed** (if Sophie was very fond of Peter), *denn* would be **acceptable** in (7).
- **Gutzmann's predictions**: due to the discourse participants' common knowledge, *A* clearly **knows why** *B* would ask the question. Hence, Gutzmann's account would wrongly predict (7) to be felicitous.
- **NB**: In contrast, using *dann* 'then' instead of *denn* is **acceptable** here, just as it would be in English (for an account of English *then*, see Biezma, 2014):

(8) *B: Ist dann Peter auch hier?*

*B: Is Peter also here, then?/So, is Peter also here?*

**Preview** of the account I will give below:

- *denn* is **sensitive** not only to the question as a whole, but to the **proposition that gets highlighted** by the question (pace [Csipak and Zobel, 2014](#)).<sup>1</sup>
- In (7), this is the proposition *p* that **Peter is at the party**.
- By using *denn* in (7), *B* conveys that she **needs *p* confirmed** before she can integrate/accept the fact that Sophie is there.
- In this sense, we will call *p* a **necessary precondition** for integrating/accepting that Sophie is there.<sup>2</sup>
- However, Sophie’s going to parties **doesn’t depend** on Peter’s going there.
- Hence, it isn’t obvious why *B* would need *p* confirmed to make sense of Sophie’s being at the party.
- For this reason the *denn*-question in (7) is **infelicitous**.
- On the other hand, *dann* in (8) expresses a **consequence relation** between the information asserted by *A* and *p* (roughly: Sophie is at the party, **hence** Peter must be there).

- It is easy to find **more examples** in which *denn* is infelicitous because the highlighted proposition does not stand in a precondition relation to some piece of contextual information:

- (9) [A really likes ice skating, and *B* knows this. *A* and *B* are walking together by a lake that usually doesn’t freeze. *A* notices the lake is frozen.]
- a. A: Schau mal! War es denn diesen Winter kälter als normalerweise?  
A: *Look! Was this winter DENN colder than usual?*
  - b. A: Schau mal! Sollen wir (#denn) Schlittschuh laufen gehen?  
A: *Look! Shall we (#DENN) go ice skating?*

- In both (9-a) and (9-b), it is **clear why *A* is asking** the question. Gutzmann’s condition would thus predict both questions to be felicitous.
- However, we find that only (9-a), but not (9-b) is felicitous. On our account this will be predicted: **low temperature** is a precondition for frozen lakes; but there is no salient contextual information for which the **suggestion to go ice skating** could reasonably be construed as a precondition.
- Before we turn to the proposal, a brief **aside**. It might seem from the ice skating example that what *denn* contributes to a polar question is a **positive evidential bias** (i.e., that there must be contextual evidence for the highlighted proposition). However, the party example shows that this condition is not sufficient (there is positive evidence, yet (7) is infelicitous).

<sup>1</sup>I use the term *highlighting* in the sense of [Roelofsen and Farkas \(2015\)](#). It will be defined in Sec. 3.1

<sup>2</sup>That *denn* establishes a “necessary precondition” relationship has also been suggested by [Csipak and Zobel \(2016\)](#), but only for conditional *denn*, not for *denn* in questions, and without exploring the predictions that this approach makes.

### 3 Proposal

- We have now seen how *denn* behaves in polar questions. Let us use these observations to formulate a felicity condition for *denn*. Afterwards we will see how this condition holds up for other sentence types, i.e., *wh*-questions and conditional clauses.
- But first: some auxiliary notions...

#### 3.1 Auxiliary notions

##### 3.1.1 Highlighting

- The notion of **highlighting** is used to capture the **semantic objects that a sentence makes salient** (see Roelofsen and Farkas 2015). For example:

(10)	a.	Ann watched Psycho.	$\rightsquigarrow \lambda w.W(p)(a)(w)$	0-place property
	b.	Did Ann watch Psycho?	$\rightsquigarrow \lambda w.W(p)(a)(w)$	0-place property
	c.	What did Ann watch?	$\rightsquigarrow \lambda x.\lambda w.W(x)(a)(w)$	1-place property
	d.	Who watched what?	$\rightsquigarrow \lambda y.\lambda x.\lambda w.W(x)(y)(w)$	2-place property

- To **generalize** over these different cases, we view propositions as 0-place properties. A sentence then highlights an ***n*-place property**, where  $n \geq 0$  is the number of *wh*-elements in the sentence.

##### 3.1.2 Discourse events

- Essentially, the notion of a **discourse event** gives us a **wider notion of a discourse move**.
- A discourse event can be an **utterance**, i.e. an assertion, question or imperative, or any **other event** through which some piece of contextual evidence becomes salient (e.g., a discourse participant pointing at an object, thereby making it salient; or a bus driving by, thereby becoming salient).

##### 3.1.3 Proceeding in discourse

- Intuitively, for *A* to **proceed in discourse** is for *A* to act in line with:
  - (a) what the **previous discourse event** has indicated would be a preferred action, or
  - (b) with the **plans** that *A* is publicly entertaining.
- For instance:
  - if the previous discourse event *E* was an **imperative**, *A* has to accept this imperative and carry out the given instructions;
  - if *E* was an **assertion** or the presentation of **contextual evidence**, *A* has to accept both *E* and the new information;
  - if *E* was a **question**, *A* has to accept this question and answer it; and
  - if *A* announces or otherwise indicates that she wants to **perform some action**, then, to proceed in the above sense, she has to actually perform this action.
- In all the above cases, *accepting* information goes **beyond a mere belief update**: it also includes **integrating** the new information with existing beliefs, ideally even being able to **explain** the new information.

### 3.2 A felicity condition for *denn*

It is felicitous for a speaker  $c_S$  to use *denn* in a sentence with highlighted property  $f$  iff  $c_S$  considers learning an instantiation of  $f$  a necessary precondition for herself to proceed in the discourse.

- This condition allows  $f$  to be one of several things. For example:
  - a precondition that is based on **world knowledge** (above: ice skating example):
    - (11) *A: Anton signed up for a ballroom dancing course.*  
*B: Hat er denn Rhythmusgefühl?*  
*B: Does he DENN have a sense of rhythm?*
  - a **presupposition** of the previous assertion:
    - (12) *A: I can't see Peter's car anywhere.*  
*B: Hat Peter denn ein Auto?*  
*B: Does Peter DENN have a car?*
  - a piece of information that is missing in order to even **interpret** the previous utterance:
    - (13) [*A and B know two Anna's, one from Hamburg and one from Munich.*]  
*A: Earlier today, Anna called!*  
*B: Welche Anna meinst du denn?*  
*B: Which Anna do you DENN mean?*
  - a piece of information that is missing in order to **carry out the given instructions**:
    - (14) *A: When you next go to the supermarket, could you get me some biscuits?*  
*B: Ja, klar. Was für Kekse möchtest du denn?*  
*B: Yeah, sure. What kind of biscuits do you DENN want?*
- This is a conservative account in the sense that it **derives** the characterizations made by some other accounts:
  - learning the highlighted answer is **relevant** for the speaker (cf. König 1977, a.o.) etc. because only by learning this answer she can proceed;
  - the **heightened speaker interest** (cf. Csipak and Zobel 2014) derives from the same reason;
  - *denn*-questions often inquire about a precondition for accepting the previous discourse move, i.e., a discourse move made by the hearer; it is natural to assume that in these cases, the **hearer knows the answer** to the question (cf. Helbig 1988).

## 4 Predictions

### 4.1 Predictions for polar questions

#### 4.1.1 Basic predictions

For polar questions, the highlighted property  $f$  is a 0-place property, i.e., a proposition. Learning an instantiation of this proposition thus amounts to **learning the proposition itself**.

- For example, in (15),  $f$  is the proposition that the door is open.  $B$  is conveying that she first has to learn that the door is open before she can follow  $A$ 's instruction to go ahead.

- (15)  $A$ : *You go ahead! I'm coming in a minute.*  
 $B$ : *Ist die Tür denn offen?*  
 $B$ : *Is the door DENN open?*

#### 4.1.2 Further predictions: disjunctions and conjunctions of questions

A further prediction: *denn* is acceptable in **conjoined** but not in **disjoined** questions.

- (16)  $A$ : *Did you hear? Sarah is going on a world trip next week!*  
 $B$ : *#Hat sie denn im Lotto gewonnen oder hat sie denn reich geerbt?*  
 $B$ : *#Has she DENN won the lottery or has she DENN come into a big inheritance?*

- (16) is predicted to be infelicitous because *denn* marks learning  $f$  as **necessary** for the speaker to proceed.
- But by **disjoining** two questions with highlighted propositions  $f_1$  and  $f_2$ , a speaker signals that **answering either** of them is **sufficient**.
- If answering either question is sufficient, though, this means that neither learning  $f_1$  nor learning  $f_2$  can be **necessary**.
- What is predicted to be acceptable on the other hand:

- just one of the *denn*-marked disjuncts **individually**:

- (17)  $B$ : *Hat sie denn im Lotto gewonnen?*  
 $B$ : *Has she DENN won the lottery?*

- *denn* in **conjoined** questions (as there can of course be **several necessary preconditions**):

- (18)  $B$ : *Hat sie denn schon eine Route geplant und hat sie die Flüge denn schon gebucht?*  
 $B$ : *Has she DENN planned the route yet and has she DENN booked the flights yet?*

- **Complication**: in (16), **both** questions are *denn*-marked. If *denn* is present in **only one of the disjuncts**, the resulting sentence is still predicted to be infelicitous. The judgements, however, become less clear in this case:

- (19) a. *Hat sie (?denn) im Lotto gewonnen oder hat sie reich geerbt?*  
b. *Hat sie im Lotto gewonnen oder hat sie (?denn) reich geerbt?*

- (20) [A's and B's watches show different times. A suggests setting them to the same time.]

- a.  $B$ : *Geht denn meine Uhr falsch oder geht deine falsch?*  
 $B$ : *Is DENN my watch wrong, or is yours wrong?*  
b.  $B$ : *Geht meine Uhr falsch oder geht (#denn) deine falsch?*  
 $B$ : *Is my watch wrong, or is DENN yours wrong?*

- It might be possible to explain the improved acceptability of (19-a) and (20-a) (*denn* only in first disjunct) if we assume that the particle gets interpreted as **taking wide scope**.
- In those cases where *denn* only in the second disjunct is acceptable, the second disjunct might be interpreted as a **correction or afterthought**.

### 4.1.3 Disjunctive polar questions and alternative questions

We find that *denn* can appear both in **disjunctive polar questions** (final-rise intonation), and in **alternative questions** (falling intonation on final disjunct).

- (21) B: Hat sie denn im Lotto gewonnen oder reich geerbt? [disjunctive PQ]  
 B: *Has she DENN won the lottery or come into a big inheritance?*
- (22) A: *Can can pick me up from the station?* [alternative question]  
 B: Kommst du denn am Montag↑ oder am Dienstag↓?  
 B: *Are you DENN coming on Monday↑ or Tuesday↓?*

- A disjunctive PQ is taken to highlight one (disjunctive) property (Roelofsen and Farkas, 2015). So, that *denn* can be acceptable in these questions is not surprising.
- An alternative question is taken to highlight **several** properties (Roelofsen and Farkas, 2015). Hence, the fact that *denn* can appear in alternative questions isn't captured by our felicity condition.
- We will adapt it by saying that *denn* signals that learning **one of these properties** is a necessary precondition.

It is felicitous for a speaker  $c_S$  to use *denn* in a sentence with highlighted properties  $F = \{f_1, \dots, f_n\}$  iff  $c_S$  considers learning an instantiation of at least one  $f \in F$  a necessary precondition for herself to proceed in the discourse.

- For all other sentence types, this more complex condition boils down to the simpler old condition. So, for readability, I will stick to the simpler condition in what follows.

## 4.2 Predictions for *wh*-questions

### 4.2.1 Basic predictions

- In the case of a single *wh*-question, the highlighted property  $f$  is a **1-place property**; in the case of a multiple *wh*-question, it is an  **$n$ -place property** with  $n \geq 2$ .
- For example, in (23),  $f = \lambda x.\lambda w.\text{called-anna}(x)(w) \wedge \text{intended-referent}(x)(w)$ .

- (23) [A and B know two Annas, one from Hamburg and one from Munich.]  
 A: *Earlier today, Anna called!*  
 B: Welche Anna meinst du denn?  
 B: *Which Anna do you DENN mean?*

- Here, B conveys that in order to be able to **interpret** (and thus ultimately to accept) A's assertion, he needs to learn which of the *Annas* A intended as a referent.

#### 4.2.2 Asymmetry between polar questions and *wh*-questions

- Observe the **asymmetry** between *wh*-questions and polar questions:
  - it is **acceptable** for *B* to ask *which* Anna *A* was talking about, as in (23),
  - it is **not acceptable** to inquire about a *specific* Anna using a *denn*-marked polar question, as in (24):

(24) B: Meinst du (#denn) Anna aus Hamburg?  
*Do you (#DENN) mean Anna from Hamburg?*

- This is predicted because in the case of a *wh*-question there are **several instantiations** of the highlighted property *f*. In (23), learning **some instantiation** of *f* is indeed necessary for *B* to interpret *A*'s utterance.
- On the other hand, in the case of a polar question there is only **one instantiation** of *f*, namely a proposition. In (24), this is the proposition that *A* was referring to Anna from Hamburg. Learning this proposition cannot be construed as necessary for *B* because there are **several possible referents**.

#### 4.2.3 The ease of accommodating *denn* in *wh*-questions

- **A comment** is in order here. *Denn* in *wh*-questions is much more permissive than in polar questions: it is difficult to find infelicitous examples of *denn*-marked *wh*-questions.
- Speakers often ask *denn*-marked *wh*-questions **out of the blue**, and when they do, the hearer is usually able to **accommodate** that the speaker needs the inquired information to proceed with what she is trying to do:

(25) [Someone asking a passerby:]  
Wie komme ich denn von hier zum Bahnhof?  
*How do I DENN get to the station from here?*

- Here we might say that learning the way to the station is a necessary precondition for the speaker to proceed with their (either publicly entertained or deducible) plans of going to the station.
- In other cases, it is possible to deduce which plans someone is entertaining from certain social protocols, e.g., during a dinner:

(26) Welchen Wein möchtest du denn?  
*Which wine would you DENN like?*

- Why I believe our analysis is on the right track, even given the almost universal permissibility of *denn* in *wh*-questions:
  - There are contexts in which *denn*-marked *wh*-questions are clearly infelicitous, namely very **sparse, unambiguous contexts** that allow for little accommodation such as König's middle-of-the-night example;
  - The **asymmetry** between polar and *wh*-questions mentioned above might **help explain** why *wh*-questions are so much easier to accommodate than polar questions: request for information (*wh*-questions) vs. marking a proposition as a necessary precondition (polar questions)...

## 5 *denn* in conditional antecedents

### 5.1 Data

- Recall: *denn* can also appear in certain conditional antecedents.

- (27) a. Kritik ist willkommen, wenn sie *denn* konstruktiv ist.  
*Criticism is welcome if it DENN is constructive.*
- b. Die Veranstaltung zeigte, dass Literatur politisch sein kann, wenn sie das *denn* will.  
*The event has shown that literature can be political if it DENN wants to be.*

- More specifically, Csipak and Zobel (2016) observe that:

- *denn* is licensed in **hypothetical conditionals**, but not in **temporal conditionals** like (28) or **factual conditionals** like (29):

- (28) Wir fangen an, wenn es (#*denn*) dunkel wird.  
*We'll start when it (#DENN) gets dark.*

- (29) A: Peter kommt auch.  
B: Ah, ok. Wir sollten mehr Spaghetti kochen, wenn (?*denn*) Peter auch kommt.  
A: *Peter is coming too.*  
B: *Ah, okay. We should make more spaghetti if (?DENN) Peter is coming too.*

- antecedents containing *denn* usually **follow** the consequent and **don't precede** it
- antecedents containing *denn* can also occur as **bare antecedents**:

- (30) A: Sie hätte gewinnen können.  
B: Wenn sie das *denn* gewollt hätte!  
A: *She could have won.*  
B: *If she DENN had wanted to!*

### 5.2 Predictions for conditional *denn*

#### 5.2.1 Basic predictions

- If *denn* appears in a conditional antecedent, the **highlighted property *f*** is a proposition, namely the **proposition expressed by the antecedent**.
- Since *denn*-marked antecedents usually **follow** their consequents and not **precede** them, I will assume that the consequent acts as the **previous discourse event**. I will leave *denn*-marked antecedents that don't follow this pattern for future work.

Our felicity condition predicts conditional *denn* to be felicitous just in case the speaker considers the proposition expressed by the antecedent a **necessary precondition** for (accepting) the consequent.<sup>3</sup>

<sup>3</sup>This is very much in the spirit of one of the further felicity conditions that Csipak and Zobel (2016) give for conditional *denn*. They don't consider the further predictions resulting from this condition, though.

### 5.2.2 Further predictions: conditional perfection

*Denn* conventionalizes **conditional perfection**: since it marks the antecedent as **necessary**, it turns its containing conditional into a **biconditional**.

– This prediction seems to be borne out:

(31) Kritik ist willkommen, wenn sie (#denn) konstruktiv ist—und auch wenn sie nicht konstruktiv ist.

*Criticism is welcome if it (#DENN) is constructive—and also if it isn't constructive.*

(32) Wir gehen morgen Squash spielen, wenn (?denn) Court 1 frei ist oder wenn (#denn) Court 2 frei ist.

*We'll play squash tomorrow if (?DENN) court 1 is free or if (#DENN) court 2 is free.*

– Note that the biconditional interpretations of (31) and (32) stem from a **non-truth-conditional meaning contribution**, though. The infelicity of (31) and (32) is **less pronounced** than that of a corresponding *only if* conditional like (33).

(33) Kritik ist (#nur) willkommen, wenn sie konstruktiv ist—und auch wenn sie nicht konstruktiv ist.

*Criticism is welcome (#only) if it is constructive—and also if it isn't constructive.*

## 5.3 Previous work: is a unified account possible?

### 5.3.1 Unassertability bias of conditional *denn*

– [Csipak and Zobel \(2016\)](#) provide a formal account of conditional *denn* ( $denn_C$ ), arguing that a **unified analysis** of  $denn_Q$  and  $denn_C$  is **impossible**.

– They base this on the assumption that  $denn_C$  but not  $denn_Q$  conveys an **epistemic unassertability bias**: if a speaker uses a *denn*-antecedent, she considers the proposition expressed by the antecedent too unlikely to assert it.

– In support of this analysis, they report that the continuation in (34) is infelicitous in combination with *denn*, while without *denn* it is fine.

(34) Wir machen morgen ein Picknick, wenn (#denn) die Sonne scheint—und das ist laut Wetterbericht sehr wahrscheinlich. (after [Csipak and Zobel](#))

*We are having a picnic tomorrow if (#DENN) the sun is shining—which the weather report says is likely.*

– [Csipak and Zobel](#) implement the unassertability bias as a non-truth-conditional meaning contribution, encoded in the **lexical entry of  $denn_C$** :

(35)  $\llbracket denn_C \rrbracket(p) : \lambda w. \text{prob}(w, p) < T$ , where  $T$  is at or below the threshold for assertability

– But note that (35) **does not explain the infelicity** of (34) unless the threshold  $T$  for assertability is the same as the threshold for “being likely” (let’s call the latter  $L$ ):

–  $denn_C$  contributes the condition that  $\text{prob}(w, p) < T$ ,

– the continuation in (34) contributes the condition that  $\text{prob}(w, p) > L$ .

– It’s natural to assume that  $L < T$ , though, which means that these two **conditions are compatible**.

- In order to account for (34), we might assume that *denn*<sub>C</sub> carries a **stronger bias**, namely a *negative epistemic bias* (i.e.,  $\text{prob}(w, p) < 0.5$ ). But this again seems **too strong**:

(36) A: *Tim's birthday party is gonna be great!*

B: Wenn er denn feiert. Also klar, wahrscheinlich feiert er, aber er hat halt einfach noch nichts darüber gesagt.

B: *If he's DENN having a birthday party. Well, sure: he probably is having a party, it's just that he hasn't said anything about it yet.*

- So, let's stick with the weaker unassertability bias for a bit.

### 5.3.2 Does this bias need to be part of the conventional meaning of *denn*?

- By standard Gricean reasoning, if a speaker uses a conditional, then she already **conversationally implicates** that she considers the antecedent proposition **unassertible**.
- Hence, what *denn*<sub>C</sub> does on this account is to **conventionalize** an unassertability bias that is also conversationally implicated.
- To confirm/disconfirm the existence of this conventional bias, we try to **cancel the conversational implicature** and see whether we still find an unassertability bias. If so, we found support for it being conventional; otherwise, it cannot be conventional.

(37) [Little Tina just learned that there is a minimal age for becoming the German federal president. Now she wants to know which family members are old enough to become federal president.]

Tina: Kann Opa Erich Bundespräsident werden?

Vater: Ich weiß die Antwort, aber ich möchte, dass du selbst darauf kommst. Immerhin weißt du ja ungefähr, wie alt Opa Erich ist. Also denk gut nach: Opa Erich kann Bundespräsident werden, wenn er **denn** mindestens 40 Jahre alt ist.

Tina: *Can Grandpa Erich become federal president?*

Father: *I know the answer, but I want you to come up with it yourself. After all you roughly know how old Grandpa Erich is. So, think about it: Opa Erich can become federal president if he DENN is at least 40 years old.*

- As far as I can see, *denn* is **felicitous** in (37), and the context makes it clear that the father **could actually assert the antecedent**, he just chooses not to for pedagogical reasons.
- This means the unassertability bias **cannot be conventional**.

### 5.3.3 Is it a more general pragmatic inference?

- Recall the picnic example:

(38) Wir machen morgen ein Picknick, wenn (#denn) die Sonne scheint—und das ist laut Wetterbericht sehr wahrscheinlich. (after Csipak and Zobel)

*We are having a picnic tomorrow if (#DENN) the sun is shining—which the weather report says is likely.*

- Interestingly, a **similar kind of infelicity** seems to arise for an **only if conditional**:

(39) Wir machen morgen (??nur dann) ein Picknick, wenn die Sonne scheint—und das ist laut Wetterbericht sehr wahrscheinlich.

*We are (#only) having a picnic tomorrow if the sun is shining—which the weather report says is likely.*

- Similarly, we seem to find a related infelicity with (repeated) **bare antecedents** that have **verum focus** on the *if* (which also seems like a way of expressing a biconditional).

(40) A: Wenn wir im Frühling endlich die Mehrheit im Stadtrat kriegen, können wir mehr Fahrradwege bauen lassen.

A: *If/when we get the majority in the city council next spring, we can finally have more bike lanes built.*

a. B: WENN wir die Mehrheit kriegen, (#und das ist sehr wahrscheinlich).

*IF we get the majority, (#which is very likely.)*

b. B: Wenn wir denn die Mehrheit kriegen, (#und das ist sehr wahrscheinlich).

*If we DENN get the majority, (#which is very likely.)*

- If these observations are correct, this indicates that whatever epistemic effect we can observe with conditional *denn* is **not part of the conventional meaning-contribution** of *denn*, but in some way follows **pragmatically** from the discourse effect of **asserting a biconditional**.

#### 5.3.4 What is the problem with an unassertability bias for *denn* questions?

- **Recall:** the argument is that a unified account is impossible because *denn<sub>C</sub>* carries a conventional unassertability bias, while *denn<sub>Q</sub>* doesn't.
- I tried to argue that *denn<sub>C</sub>* does **not** carry a conventional bias.
- But **suppose that I'm wrong**, and that in fact it does.
- Then, **what would be the problem** with assuming the same bias for *denn<sub>Q</sub>*?
- When a speaker asks a question about *p*, she normally also conversationally implicates that she considers *p* unassertible.
- That means that if we assume the above unassertability bias for *denn<sub>Q</sub>*, it would also merely conventionalize an effect we already get from a conversational implicature.

In sum, I conclude that a **unified account** is in principle **possible**, but more work is needed to see whether the observed epistemic effect can be derived pragmatically.

## 6 Causal conjunction *denn*

- Discourse particles often lead **double lives** as members of other word classes. For instance, the discourse particle *ja* is homonymous with a polar particle, the discourse particle *etwa* is homonymous with an adverb meaning *approximately*, and so on.
- Discourse particle *denn* is homonymous with a **conjunction** that expresses, roughly, a causal or precondition-like relationship between two sentences (Pasch *et al.*, 2003). The closest English equivalent is (archaic) *for*.

### 6.1 Data

- In many contexts, *denn* is synonymous with *weil* ‘because’, but it can express a **wider range of semantic relationships** than the latter. In particular, *denn*-clauses but not *weil*-clauses can be used to provide **justifications for speech acts**:

(41) a. Es hat geregnet, denn die Straße ist ganz nass.  
b. #Es hat geregnet, weil die Straße ganz nass ist.  
*It rained DENN/#WEIL the street is wet.* (Scheffler, 2005)

(42) a. Die Straße ist ganz nass, denn es hat geregnet.  
b. Die Straße ist ganz nass, weil es geregnet hat.  
*The street is wet DENN/WEIL it rained.*

(43) a. Peter muss zuhause sein, denn das Licht in seiner Wohnung ist an.  
b. #Peter muss zuhause sein, weil das Licht in seiner Wohnung an ist.  
*Peter must be home DENN/#WEIL the light in his flat is on.*

(44) a. Ist vom Mittag noch etwas übrig? Denn ich habe schon wieder Hunger.  
b. ?#Ist vom Mittag noch etwas übrig? Weil ich schon wieder Hunger habe.  
*Are there lunch leftovers? DENN/#WEIL I'm already hungry again.* (Scheffler, 2005)

- Also, and this is relevant for us, *denn* can be used to express a **precondition relationship**, whereas *weil* can't:

(45) a. Das Streichholz ist angegangen, denn es ist genügend Sauerstoff in der Luft.  
b. #Das Streichholz ist angegangen, weil genügend Sauerstoff in der Luft ist.  
*The match lit DENN/#WEIL there is enough oxygen in the air.*

- Moreover, different from *weil*-clauses, *denn*-clauses can't be used to answer *why*-questions:

(46) *Why is Sophie relieved?*  
a. Weil sie ihre letzte Prüfung hinter sich hat.  
b. \*Denn sie hat ihre letzte Prüfung hinter sich.  
*WEIL/\*DENN she is done with her last exam.*

- Scheffler (2005) explains this last contrast by treating the causal relationships conveyed by *denn* as a **conventional implicature** and that expressed by *weil* as asserted.<sup>1</sup>

### 6.2 Predictions for causal conjunction *denn*

- We have already made some headway towards a unified account:
- *Denn* contributes the condition that the speaker has to consider learning *f* a necessary **precondition for proceeding in discourse**.

<sup>1</sup>For a similar observation and treatment of English *since*, see Charnavel (2017).

- Among other things, this can mean that *f* is an **explanation** for the preceding discourse event: in this case, by using a *denn*-question, a speaker demands an explanation before she is willing to proceed.
- The most general **example** of this:
 

(47) Warum denn?  
Why *DENN*?
- This seems to fit well with the fact that causal conjunction *denn* can also convey that its prejacent is an **explanation/cause** for the content expressed by the preceding sentence.
- But there are still a number of issues.

### 6.3 Issue 1: evidential flip

- Recall that in questions and conditional antecedents, the speaker considers learning an instantiation of the highlighted property *f* a precondition **for herself** to proceed.
- With causal conjunction *denn*, however, the speaker doesn't ask the hearer for information that will help her (i.e., the speaker) proceed. Rather, she herself **provides information for the hearer**, in the hope that it will convince the hearer.
- To capture this in the felicity condition of *denn*, we will need a certain kind of **flip**.
- **Background:** in a discourse, there are several ways of assigning roles to interlocutors. If we assign them based on who makes a discourse move, the roles are those of **speaker and hearer**. But if we take a more evidential perspective, we arrive at the roles of **interrogator and witness**:

move	speaker	hearer
question	interrogator	witness
assertion	witness	interrogator
antecedent <sup>2</sup>	either?	either?

- **Perspective shifts** that depend on illocutionary force are not uncommon: many perspective-dependent expressions make the **speaker** the relevant perspective-holder when they occur in **assertions**, and make the **hearer** the relevant perspective-holder when they occur in **questions**.
- For example, **illocutionary adverbs** are anchored to the speaker in assertions, while in questions they are anchored to the hearer (Faller, 2006).

- (48) a. Honestly, it was Mary who ate the biscuits.  
b. Honestly, who has eaten the biscuits?

- This phenomenon is sometimes called **interrogative flip** or **evidential flip** (Fillmore, 1975; Mitchell, 1986).
- If we adopt the distinction between interrogator and witness, our felicity condition for *denn* is the following:

It is felicitous for a speaker  $c_S$  to use *denn* in a sentence with highlighted property *f* iff  $c_S$  considers learning an instantiation of *f* a necessary precondition for the interrogator to proceed in the discourse.

<sup>2</sup>Bylinina et al. (2014, 2015) note, albeit in a slightly different context, that in conditional antecedents perspective shifting is optional.

- That is, in questions, it is the speaker who has to learn, while in assertions it is the hearer.

## 6.4 Issue 2: necessary precondition vs. possible explanation

### 6.4.1 The problem

- If discourse particle *denn* makes the **same meaning contribution** as causal conjunction *denn*, we would expect them to accept the **same prejacent**.
- However, this is not always the case: the *denn*-assertion (49) is **acceptable**, but the corresponding *denn*-question (50) is **not acceptable**:

(49) Karl muss ins Gefängnis, denn er hat Drogen verkauft.  
*Karl has to go to jail, DENN he sold drugs.*

(50) A: *Karl has to go to jail.*  
 B: #Hat er denn Drogen verkauft?  
 B: #Did he DENN sell drugs?

- This is because Karl having sold drugs is not **necessary** in the relevant sense; there **could have been other reasons** for him going to jail.
- In contrast, him having committed a crime is necessary:

(51) B: Hat er denn ein Verbrechen begangen?  
 B: *Did he DENN commit a crime?*

- So, the problem is: particle *denn* marks learning *f* as **necessary**, but the explanations that conjunction *denn* introduces are typically **not necessary**.

### 6.4.2 A possible fix

- We introduce one more level of modality into the felicity condition:

It is felicitous for a speaker  $c_S$  to use *denn* in a sentence with highlighted property  $f$  iff  $c_S$  **considers it possible** that learning an instantiation of  $f$  is a necessary precondition for the interrogator to proceed in the discourse. ( $\diamond_S \Box_I$ )

- **In questions: interrogator = speaker** ( $\diamond_S \Box_S \varphi \Leftrightarrow \Box_S \varphi$ )  
 ...the speaker **considers it possible** that learning an instantiation of  $f$  is a necessary precondition for **herself** to proceed in the discourse.
- We assume that agents are fully **introspective** w.r.t. what preconditions they have for proceeding.
- Then, considering it possible that  $f$  is a precondition for oneself simply **boils down** to considering  $f$  a precondition.
- That means, for questions, the new felicity condition just boils down to **the old one**.
- **In assertions: interrogator = hearer** ( $\diamond_S \Box_H \varphi \not\Leftrightarrow \Box_H \varphi$ )  
 ...the speaker **considers it possible** that learning an instantiation of  $f$  is a necessary precondition for **the hearer** to proceed in the discourse.

Intuitively, we can think of this as the speaker **preemptively answering** a *denn*-marked polar question that she thinks the hearer might ask.

- This potentially allows *f* to be **any proposition that a hearer might need confirmed** in order to accept a discourse move or a piece of information. For instance:
  - a **precondition** (oxygen example above):
 

(52) Ist dir gar nicht aufgefallen, dass du viel zu schnell fährst? Denn das tust du.  
*Haven't you noticed that you are driving way too fast? DENN you are.*
  - a **cause** (jail example above):
 

(53) Der See ist gefroren, denn es war diesen Winter kälter als normalerweise.  
*The lake is frozen. DENN this winter was colder than usual.*
  - a **justification of an epistemic statement** (rain example, light example above):
 

(54) Es muss diesen Winter kälter sein als normalerweise. Denn der See ist gefroren  
*This winter must be colder than usual. DENN the lake is frozen.*

## 7 Conclusion

- I have proposed a unified account of:
  - **discourse particle** *denn* in polar questions, *wh*-questions and conditional antecedents, and
  - **causal conjunction** *denn*.
- Although some details remain to be worked out, I have argued that *denn* connects the **highlighted content** of its containing clause to the **preceding discourse** by expressing a **precondition-like relationship**.
- The particle thereby helps interlocutors with **navigating a discourse**: it provides speakers with a means to signal that something is a precondition for continuing with the discourse in the desired way.
- There are numerous loose ends for future work, e.g.:
  - nonstandard questions: rhetorical questions, biased questions
  - *denn* in counterfactual antecedents
  - relation to other particles (*überhaupt*)

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