

Floris Roelofsen, Nadine Theiler & Maria Aloni

A semantic account of the selectional
restrictions of some (anti-)rogative verbs

SALT 27

WORKSHOP ON MEANING AND DISTRIBUTION

MAY 11 2017, UNIVERSITY OF MARYLAND

CLAUSE-EMBEDDING VERBS

Some verbs take **both** declarative and interrogative complements:

- (1) a. Bill **knows** that Mary left.
- b. Bill **knows** whether Mary left / who left.

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TWO APPROACHES

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Declarative/interrogative
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UNIFORMITY

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UNIFORMITY

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	selectional restrictions	selectional flexibility
type distinction	✓	✗
uniformity	✗	✓

Today I will:

- 1 discuss a **challenge** for type-distinction-based accounts
- 2 sketch a **uniform** treatment of clause-embedding
- 3 propose an account of the selectional restrictions of **anti-rognatives**
- 4 review an account of *wonder*that*

- **Most previous work** assumes a type distinction:

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- Account for **selectional restrictions** of (anti-)rogatives:
 - anti-rogatives **only take** complements of type $\langle s,t \rangle$
 - rogatives **only take** complements of type $\langle \langle s,t \rangle, t \rangle$

PROBLEM: TYPE-SHIFTING

John knows that Mary arrived and he knows what she brought

$\langle s, t \rangle$

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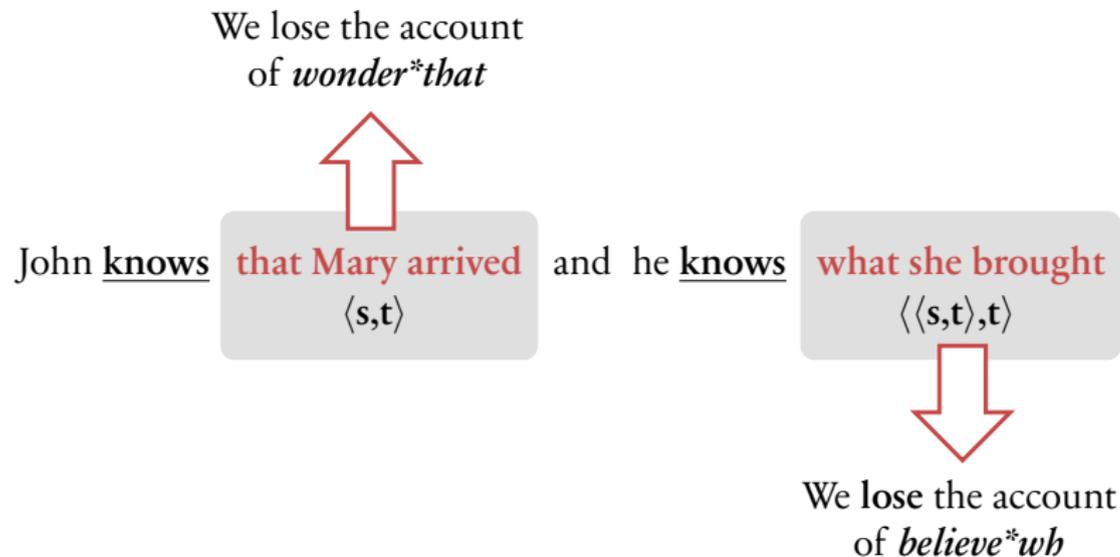


John knows **that Mary arrived** and he knows **what she brought**
 $\langle s, t \rangle$ $\langle \langle s, t \rangle, t \rangle$



We lose the account
of *believe*wh*

PROBLEM: TYPE-SHIFTING



A type-based account cannot directly capture the selectional restrictions of **both** rogatives and anti-rogatives **at once**.

PART 2

A uniform treatment
of clausal complements

SENTENCE MEANINGS IN INQUISITIVE SEMANTICS

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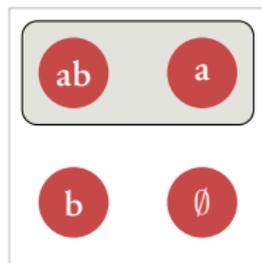
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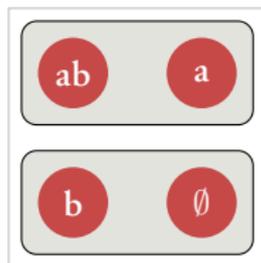
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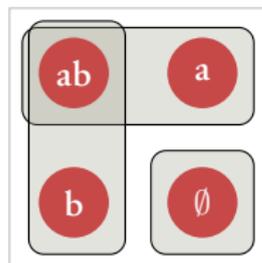
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Ann left.



Did Ann leave?



Who left?

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set of resolutions
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doxastic state
of x in w

$\llbracket \text{be certain} \rrbracket^w = \lambda P_{\langle st, t \rangle} . \lambda x . \text{DOX}_x^w \in P$

The diagram features a light gray rounded rectangle containing the semantic expression. Above the rectangle, two labels are positioned: 'set of resolutions of the complement' on the left and 'doxastic state of x in w ' on the right. A red arrow points from the first label to the lambda abstraction $\lambda P_{\langle st, t \rangle}$. A blue arrow points from the second label to the term DOX_x^w . A red arrow also points from the first label to the membership symbol \in . The terms $\lambda P_{\langle st, t \rangle}$, DOX_x^w , and P are highlighted with light red, light blue, and light red circles, respectively.

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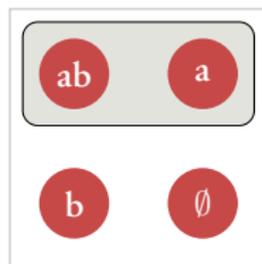
\rightsquigarrow True in w iff $\exists p \in \left\{ \begin{array}{l} \{w \mid \text{John left in } w\}, \\ \{w \mid \text{John didn't leave in } w\} \end{array} \right\}$ s.t. $\text{DOX}_m^w \subseteq p$

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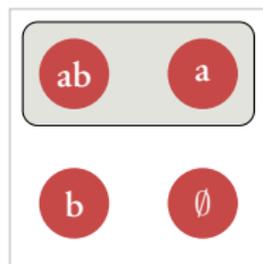


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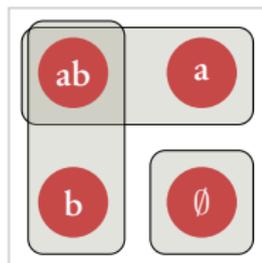
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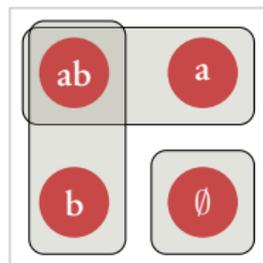


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PART 3

Selectional restrictions
of *anti-rogative* verbs

ANTI-ROGATIVES

- ① Attitude verbs: e.g., *believe, think, feel, expect, want, desire*
- ② Likelihood verbs: e.g., *seem, be likely*
- ③ Speech act verbs: e.g., *claim, suggest*
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Zuber (1982): all **neg-raising verbs** are **anti-rogative**.

NEG-RAISING VIA EXCLUDED MIDDLE PRESUPPOSITION

Neg-raising verbs come with an **excluded middle presupposition** (Bartsch, 1973; Gajewski, 2007).

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- Presupposed and asserted content logically **independent**.
- Together they **imply** that John believes Mary didn't leave.

STATUS OF THE EXCLUDED-MIDDLE PRESUPPOSITION

- Neg-raising is **defeasible** (Bartsch, 1973):
 - (9) Bill doesn't know who killed Caesar. Bill isn't even sure whether or not Brutus and Caesar lived at the same time. So, naturally, Bill **doesn't** believe Brutus killed Caesar.
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- It can't be a **semantic presupposition**.
- However, it can't be purely pragmatic either, since there is **no obvious semantic property** determining if a verb is neg-raising (Horn, 1978).

✓ *want* / ✗ *desire*

(Horn, 1978)

✓ *hope* / ✗ *hoffen*

(Gajewski, 2007)

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- ▶ Soft presuppositions are **lexical properties** of their triggers, but they arise via a **pragmatic default principle**.
- ▶ Thus, they are more **context-dependent** than semantic presuppositions.
- ▶ Simons (2001)'s **explicit ignorance contexts**:
 - (10) I **don't know** whether Bill even participated in the singing contest, but if he **won**, he's surely over the moon.
 - (11) I **don't know** whether anyone watered the plants, #but if **it is Mary** who did it, she probably gave them too much water.

NEG-RAISING *BELIEVE*

$$\llbracket \text{believe} \rrbracket^w = \lambda P_{\langle st, t \rangle} . \lambda x : \underbrace{\text{DOX}_x^w \in P}_{\text{light blue}} \vee \underbrace{\text{DOX}_x^w \in \neg P}_{\text{light red}} . \underbrace{\text{DOX}_x^w \in P}_{\text{light blue}}$$

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The negation is **inquisitive negation**:

$$\neg P := \{p \mid \forall q \in P : p \cap q = \emptyset\}$$

For example:

$$P = \begin{array}{|c|c|} \hline \circ & \circ \\ \hline \circ & \circ \\ \hline \end{array} \quad \neg P = \begin{array}{cc} \circ & \circ \\ \circ & \boxed{\circ} \end{array}$$

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The effect of this presupposition depends on whether P is a declarative or an interrogative complement.

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BELIEVE WITH INTERROGATIVE COMPLEMENTS

If P is the meaning of an **interrogative complement**, it covers the **entire logical space**.

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Whenever $\llbracket \text{believe} \rrbracket^w(P)(x)$ is defined, it is true. In other words, its assertive content is **trivial relative to its presupposition**.

The **triviality is systematic**: it arises independently of the specific verb meaning and the specific complement meaning—as long as the verb is **neg-raising** and the complement **interrogative**.

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- Gajewski (2002, 2008) proposes a notion to delineate systematic from non-systematic triviality: **L-analyticity**.
- L-analyticity, he argues, manifests as **ungrammaticality**:
 - (13) There is a/***every** wooden table.

We need to distinguish between **logical vocabulary** and **non-logical vocabulary** (approximation: invariance conditions).

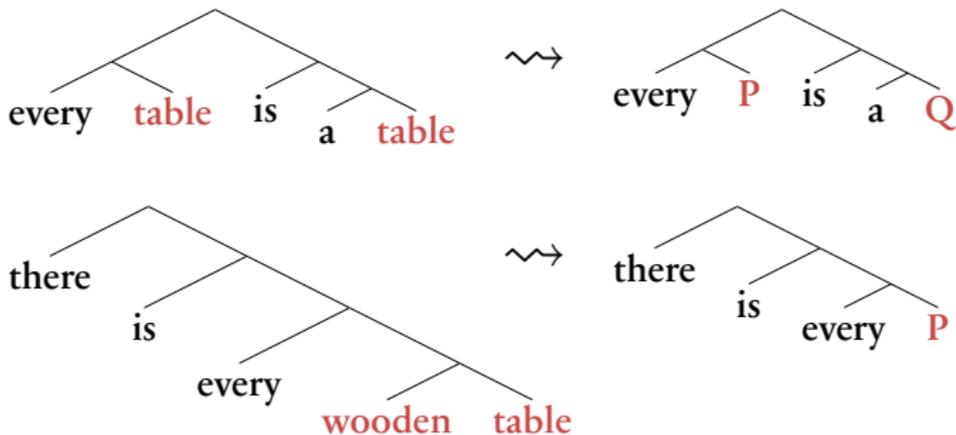
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Given a sentence with LF α , we construct a **logical skeleton** from α :

- 1 Identify the **maximal constituents** of α containing **no logical items**
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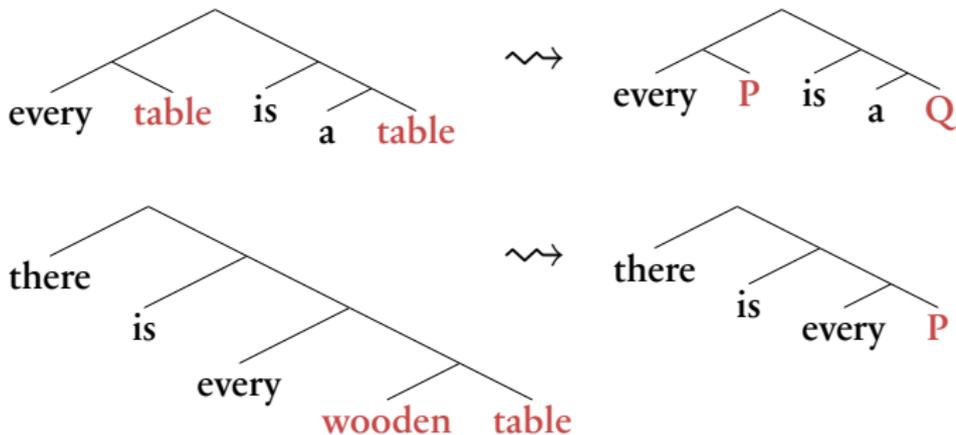


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S is **ungrammatical** if its LF contains an L-analytical constituent.

DECOMPOSING ATTITUDE VERBS

To show that the systematic triviality of *believe*wh* is a case of L-analyticity, we assume that:

- 1 Interrogative complements are headed by the **interrogative marker ?**:

$$\llbracket ? \rrbracket^w := \lambda P_{\langle st,t \rangle} . P \cup \neg P$$

e.g. $\llbracket ? \rrbracket^w \left(\begin{array}{|c|c|} \hline \circ & \circ \\ \hline \circ & \circ \\ \hline \end{array} \right) = \begin{array}{|c|c|} \hline \circ & \circ \\ \hline \circ & \circ \\ \hline \end{array}$

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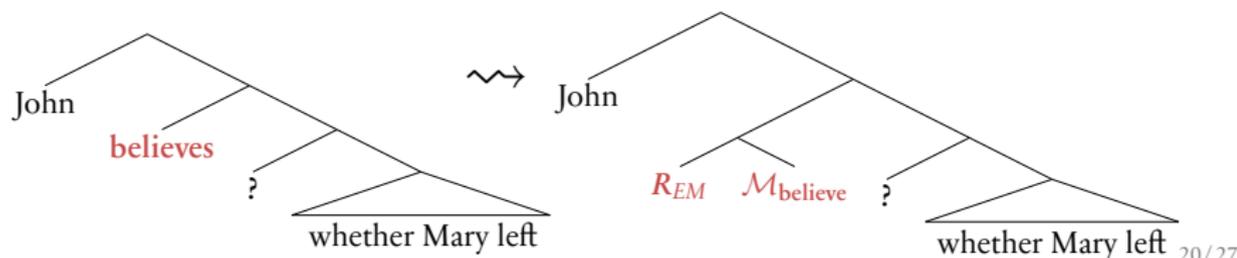
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- 2 Neg-raising attitude verbs are **decomposed** at LF into two predicates: R_{EM} , which is common to all neg-raising attitude verbs, and M_V , which is specific to the respective verb:



\mathcal{M}_V is a function mapping an individual x to a **modal base**.

e.g. $\llbracket \mathcal{M}_{\text{believe}}(j) \rrbracket^w = \text{DOX}_j^w$

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R_{EM} **triggers** the EM presupposition and **connects** \mathcal{M}_V to the subject and the complement meaning:

$$\llbracket R_{\text{EM}} \rrbracket := \lambda \mathcal{M}_{\langle e, st \rangle} \cdot \lambda P_{\langle st, t \rangle} \cdot \lambda x : \underline{\mathcal{M}(x) \in P \vee \mathcal{M}(x) \in \neg P} \cdot \mathcal{M}(x) \in P$$

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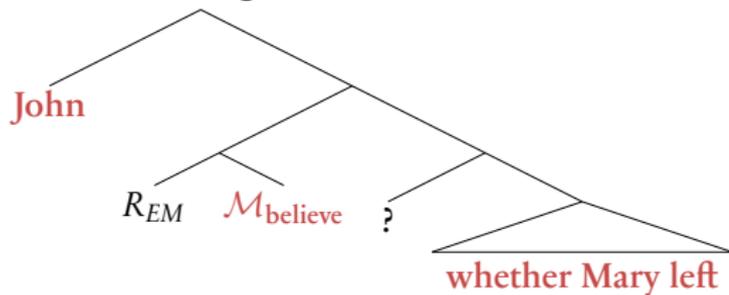
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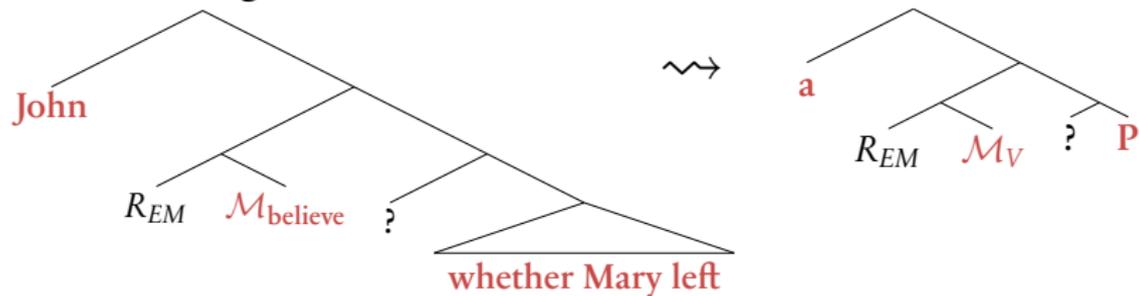
\mathcal{M}_V is “contentful”, hence **non-logical**. R_{EM} is **logical**.

① Construct logical skeleton:



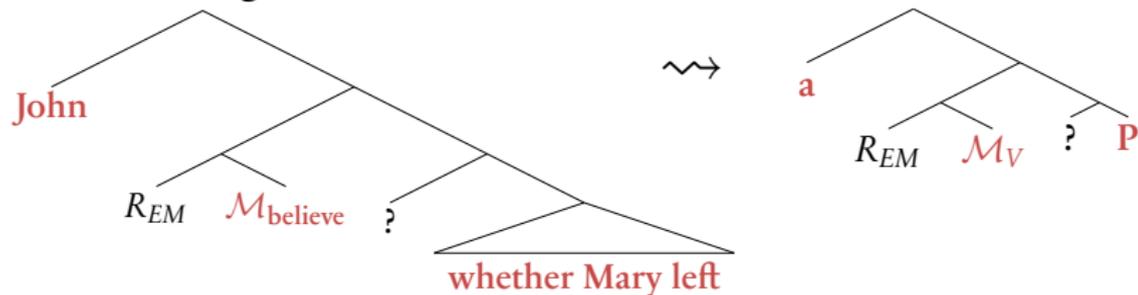
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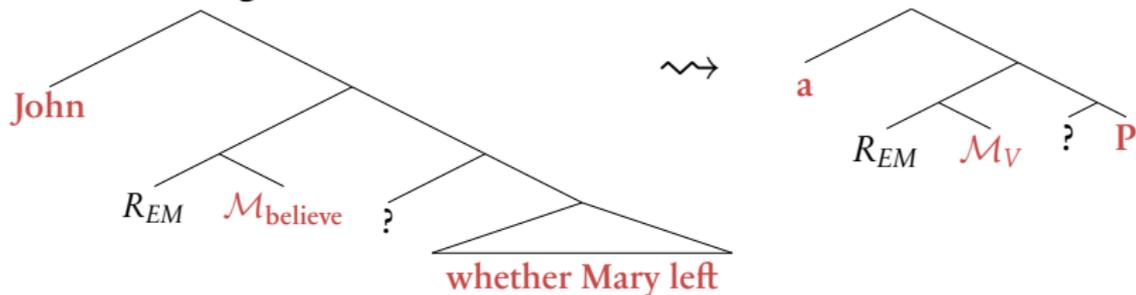
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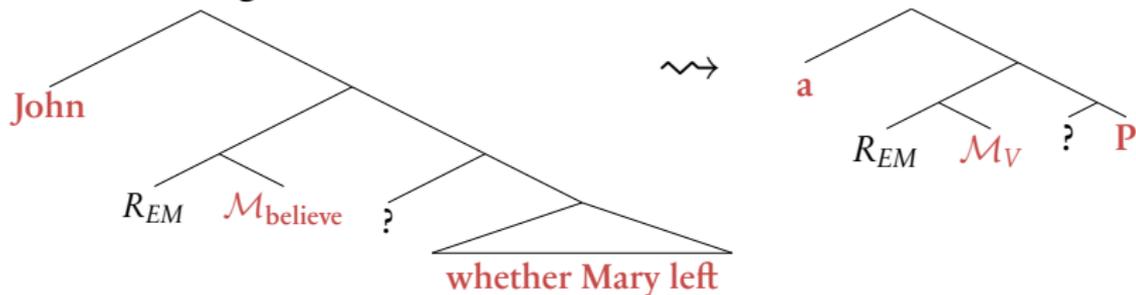


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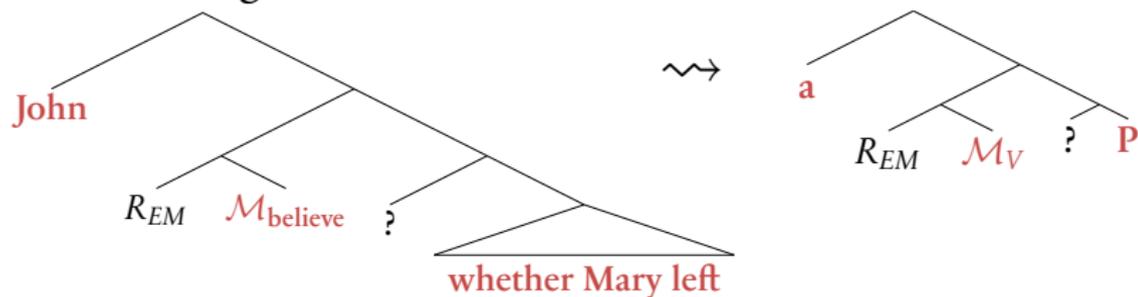


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True whenever defined = L-analytical. Hence, ungrammatical.

OTHER ANTI-ROGATIVES

- ① Attitude verbs: e.g., *believe, think, feel, expect, want, desire*
- ② Likelihood verbs: e.g., *seem, be likely*
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So, if truth-assessing verbs take a complement which covers the entire logical space, this results in systematic triviality, too.

PART 4

Accounting for *wonder*that*

At least three **subclasses** within the class of rogative verbs (cf., Karttunen, 1977):

- ① **Attitude verbs:** e.g., *wonder, be curious, investigate*
- ② **Speech act verbs:** e.g., *ask, inquire*
- ③ **Verbs of dependency:** e.g., *depend on, be determined by*

Our treatment of *wonder* is based on that of the *wonder*-modality in IDEL (Ciardelli and Roelofsen, 2015).

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- For example:

$$\text{DOX}_x^w = \begin{array}{|c|c|} \hline \circ & \circ \\ \hline \circ & / \\ \hline \end{array}$$

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$\llbracket \text{wonder} \rrbracket^w := \lambda P. \lambda x.$

$\underbrace{\text{DOX}_x^w \notin P}$
 $x \text{ isn't certain}$

\wedge

$\underbrace{\forall q \in \Sigma_x^w : q \in P}$
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If *wonder* takes a declarative complement, the two conjuncts in the entry for the verb always become **contradictory**.

This contradiction is **systematic** too, but is it also **L-analytical**?

CONCLUSION

- Assuming a **type distinction** between declarative and interrogative complements is **not necessary** for capturing the selectional restrictions of clause-embedding verbs.
- We have seen several examples of how these restrictions can instead be **derived** from the interplay between:
 - the semantic properties of the respective **complements** and
 - independently motivated features of the **embedding verbs**.

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